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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/006,729	
	Filing Date	Dec. 4, 2001	
	First Named Inventor	Rupert Gall et al.	
	Group Art Unit	2652	
	Examiner Name	t/b/a	
Total Number of Pages in This Submission	4	Attorney Docket Number	A34822 - 071308.0263

ENCLOSURES (check all that apply)

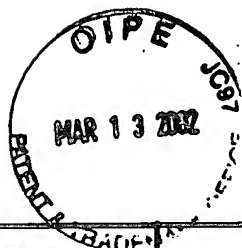
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks <input type="checkbox"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 80 Rockefeller Plaza New York, NY 10112	
Signature		Att Name: Bradley B. Geist PTO Reg: 27,551
Date	March 5, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 5, 2002		
Typed or printed name	Bradley B. Geist	
Signature		Date March 5, 2002



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170

Complete if Known

Application Number	10/006,729
Filing Date	Dec. 4, 2001
First Named Inventor	Rupert Gall et al.
Examiner Name	t/b/a
Group Art Unit	2652
Attorney Docket No.	A34822 - 071308.0263

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-4377

Deposit
Account
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee	
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20** = 0	X	0
Multiple Dependent	3** = 0	X	0

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170

SUBMITTED BY

Name (Print/Type)

Bradley B. Geist

Registration No.
(Attorney/Agent)

27,551

Complete (if applicable)

Telephone

(212) 408-2562

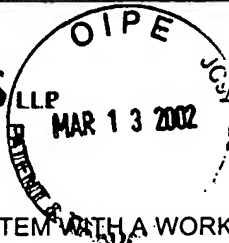
Signature

Date

March 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

BAKER BOTTS



Attorney Docket Number: A34822 - 071308.0263

Title: AUTOMATION SYSTEM WITH A WORK DRIVE UNIT

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Use Space Below for Additional Information:

CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10*

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I hereby certify that, on the date shown below, this correspondence is being:

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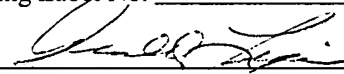
☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

37 C.F.R. 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. 1.10*

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Date: December 4, 2001

ARNOLD LIGON
(type or print name of person certifying)

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"Since the filing of correspondence under § 1.10 without the Express Mail label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition. "Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,729	12/04/2001	Gall Rupert	A34822 (071308.0263)

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 1328

FORMALITIES LETTER



OC000000007283306

Date Mailed: 01/09/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/18/2002 MBERHE 00000047 10006729

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MAY 10 2002

Technology Center 2100

Applicants : Rupert Gall and Thomas Hornfischer

Serial No. : 10/006,729

Examiner: To Be Assigned

Filed : December 4, 2001

Group Art Unit: 2652

For : AUTOMATION SYSTEM WITH
A WORK DRIVE UNIT

REQUEST FOR CORRECTION OF FILING RECEIPT

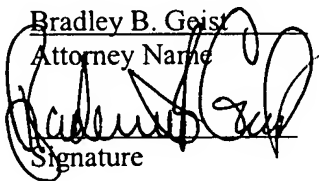
I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington
D.C. 20231 on March 5, 2002.

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APR 15 2002

Technology Center 2600

Bradley B. Geist
Attorney Name


Signature

27,551

Registration No.

March 5, 2002

Date of Signature

Assistant Commissioner for Patents
Office of Initial Patent Examination
Washington, D.C. 20231

Sir:

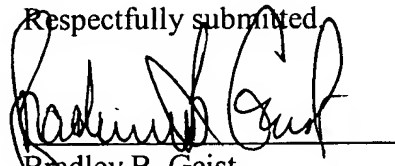
The Filing Receipt issued in this case contains an error in the name of one of the Applicants. Please change the first-named inventor from "Gall Rupert" to "Rupert Gall" as indicated in red on the attached copy of the Filing Receipt. Please issue a corrected Filing Receipt.

An Amendment to the application will be forthcoming in order to correctly name the inventor.

A34822 (071308.0263)

Please charge any fee which may be required with this submission to
Deposit Account No. 02-4377. A duplicate copy of this paper is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Bradley B. Geist', written over a horizontal line.

Bradley B. Geist
PTO Reg. No. 27,551

Attorney for Applicants
(212) 408-2500

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,729	12/04/2001	2652	740	A34822 (071308.0263)	1	7	1

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 1328

FILING RECEIPT



OC00000007283305

Date Mailed: 01/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Rupert Gall,
~~Gall Rupert,~~ Hetzles, GERMANY;
Thomas Hornfischer, Herzogenaurach, GERMANY;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY 101 009 37.2 01/10/2001
GERMANY 101 328 09.5 07/06/2001

If Required, Foreign Filing License Granted 01/08/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Automation system with a work drive unit

Preliminary Class

360

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APR 15 2002

Technology Center 2100

Technology Center 2600

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Bib Data Sheet

CONFIRMATION NO. 1328

SERIAL NUMBER 10/006,729	FILING DATE 12/04/2001 RULE	CLASS 360 162	GROUP ART UNIT 2652	ATTORNEY DOCKET NO. A34822 - 071308.0263		
APPLICANTS Rupert Gall, Hetzles, GERMANY; Thomas Hornfischer, Herzogenaurach, GERMANY; 2187						
** CONTINUING DATA *****						
** FOREIGN APPLICATIONS ***** GERMANY 101 00 937.2 01/10/2001 GERMANY 101 32 809.5 07/06/2001						
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/08/2002						
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged		<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input checked="" type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature: <i>[Signature]</i> Initials: <i>MR</i>	STATE OR COUNTRY GERMANY	SHEETS DRAWING 1	TOTAL CLAIMS 7	INDEPENDENT CLAIMS 1
ADDRESS 21003						
TITLE Automation system with a work drive unit						
FILING FEE RECEIVED 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit			